

Definition file

Notes on the SE authority files and their compliance with WIPO's Standard ST.37

1. Publication numbers

The document number of L documents is always recorded as YYNNNNN, regardless of the presentation on the documents (nnnnN/YY, nnnnN/YYYY or YYNNNNN).

The YYNNNNN format is the one used in MOSES and in all PRV data exchanges, for example the CPC data exchanges.

2. Kind codes

The kind code of the single-page 18-month abstract documents published 1971-2010 is always recorded as L, regardless of the kind code printed on the document (A, L, or in a few exceptional cases S).

There is a file for B2 documents, although this is not an official kind code. This file contains the second B document in cases where the same application led to publication of two different B documents with different publication numbers. The numbers of the "B2" documents are therefore missing from the B files.

A list of all official SE kind codes can be found at

https://www.prv.se/globalassets/dokument/Patent/spd/Swedish_patent_document_codes.pdf

3. Publication dates

For A1 and L documents, the <publication-reference>...<document-id> date in the authority file is the INID 41 "Available to the public" date.

For some early L documents, the date in the authority file does not match what is printed on the document. The reason is that those dates have been retrieved from PRV's databases, which for some old applications give a calculated publication date, exactly 18 months after the priority date. The date printed on the documents was originally the date when the application was physically made available to the public, which could for example not happen on weekends or public holidays. Only obviously incorrect dates have been corrected.

For C documents, the date is the date of the publication of the amended patent. This date was not printed on the documents, only the date of the previous B publication.

For several kinds of correction documents (C3, C5, C8, E8, T4, T5) the date of the publication of the correction was not printed on the document, only the date of the original publication. If the date of the actual publication of the correction is not known, the element is not populated.

For E documents, the publication date previously exchanged was the date of the decision about the amendment, not the date of the publication of the actual document (which is also not printed on the document). If the date of the actual publication of the correction is not known, the element is not populated.

For E5 documents, the INID 45 "Patent begränsat" date printed on the document is the date of the decision of the restriction, not the date of the publication of the actual document.

For the different kinds of T documents, the date is the actual publication date of the document, i.e. the date of the announcement of the document in the Swedish Gazette.

When the publication date is not available in machine-readable form, the element is not populated.

4. Application numbers

National application numbers are always recorded as YYNNNNN, regardless of the presentation on the documents (nnnnN/CCYY, nnnnN/YY or YYNNNNN-X).

The YYNNNNN format is used in all PRV's IT systems since decades. It's impossible to accurately reconstruct the original format without looking at the front page of the documents, since the presentation was inconsistent and the dates for switching between number formats were different for different document kinds.

Application numbers were not printed on documents published before 1934-04-04 (No. 80001). If the application number is not known, or not available in machine-readable form, the element is not populated.

Application numbers before 1925 have been excluded from the authority files, since they might be confused with numbers from the 21st century.

5. Application dates

For applications filed after 2007, the <application-reference><filing-date> is usually the effective date (INID 24). This also applies for all C1 documents. For other applications filed before 2007 it is usually the original filing date (INID 22). There are however many exceptions, since the filing date(s) printed on documents and stored in systems varied during different periods and since data has been collected from different sources.

For T documents, the date is the filing date of the EP application.

When the application date is not available in machine-readable form, the element is not populated.

6. Publication exception codes

The authority files only include documents that were published as actual documents. They do thus not include applications for which only bibliographic data were published or grants that did not result in the publication of a new document.

These are the publication indicators used:

"M" for "missing" indicates that a document has certainly been published, but cannot be found in the PRV archives. The reason is most often that earlier documents were discarded when later publications or corrected documents were published. This unfortunately often happened in the past.

"P" for "paper" is given for pre-2006 T1 documents that were announced in the gazette and made available to the public, without being published as actual documents

"W" for "withdrawn" is given when a document number in the main grant number series (C1, B, C2, E etc.) was not used at all. Numbers in the two big gaps that were caused by legislation changes (227870-300000 and 470610-500000) are not indicated by "W". Please note that in MOSES and in our CPC data there are dummy C1, B or C2 documents replacing the "W" documents.

"X" for "not published" is given for a few B documents. This indicates that a C document with that number was published without the normal previous B publication. These exceptional publications were formerly secret defence industry patents.

The authority files for the documents using the application number series (L, L1, A0, A1, A2 and A3) only include documents that certainly were published. They do thus not include any "W" or "M" indicators. Gaps are not indicated, since many applications were never made available to the public. The authority files for T1-T9 documents only include documents that have entered the Swedish national phase. They therefore also contain many gaps in the numerical series.

7. Priority application identification

The files do not include priority data.

8. Availability and language of machine-readable text

The indication of availability and language of the text of documents is derived from data from PRV systems. Since this data relates to the final status of the application, and to entire applications rather than single documents or document parts, there might be errors for certain documents and document parts, particularly for A1 documents.

Many A1 documents that are published in English also include claims translated into Swedish, but this is not mandatory. The PRV systems do not include information about the presence of Swedish claims, so the A1 files give no information the availability of Swedish if the main publication is in English.

Machine-readable text is not available for any translated European patents (kind codes T1 – T9).

9. File structure

Because of the way the authority files are produced we are not able to deliver a single file containing all document kinds. The files are organized according to document kind.

The B, C1, L and T3 files are split, in order to restrict the files to a manageable size of maximum 100,000 documents.

The files are named in accordance with WIPO Standard ST.37.

10. Data integrity

The data has not been systematically cross-checked between authority files for different document kinds. There can thus for example be cases where the same application has different application dates (INID 22 or INID 24) in different files.

11. Completeness

The files include all documents published from 1885-06-05 up to and including 2021-06-30 (week 2022-26).

The files include all national SE document kinds, with the following exceptions:

- There is no file for B5 documents. B5 is mentioned in the official list of SE kind codes, but no actual such documents are known.
- There are no files for A4, T2 and T7 documents, since no such documents have been published yet.
- In the past, a file for E9 documents was published. This was in error and the file has been withdrawn.

The files include all T documents (translated EPO patents) that have been published. Gaps are not indicated, since many EP patents are not validated in Sweden. T1 documents have only been published as actual documents since 2006-01-01. Before that date they were only made available to the public for viewing and copying at PRV's library.

12. ST.37 version and DTD

The files are produced in accordance with version 2.2 of the WIPO standard ST.37, and its corresponding DTD "ST37AuthorityFile_V2-2.dtd".

13. Coverage

The following list contains the last updated SE authority files as of 2022-01-19, representing the documents published up to 2022-06-30:

Kind	File No.	Coverage (No.)	Latest update	Docs.	Comments
A0	1	All	2022-08-22	806	
A1	2	All	2022-08-24	15129	
A2	3	All	2022-08-24	95	
A3	4	All	2022-08-24	9	
A4		-			No A4 documents have been published yet
B	5	300001-399999	2022-08-17	99997	No longer published
B	6	400000-470609	2022-08-17	70578	No longer published
"B2"	7	All	2022-08-17	34	No longer published
B5		-			No longer published, none known
C	8	All	2022-08-17	1512	No longer published
C1	9	1-99999	2022-08-18	99999	No longer published.
C1	10	100000-199999	2022-08-18	100000	No longer published.
C1	11	200000-227869	2022-08-18	27870	No longer published.
C2	12	All	2022-08-24	44525	
C3	13	All	2022-08-24	455	
C5	14	All	2022-08-24	1	No longer published
C8	15	All	2022-08-24	143	
E	16	All	2022-08-24	234	
E1	17	All	2022-08-24	2	
E5	18	All	2022-08-24	16	
E8	19	All	2022-08-24	9	
E9		-			No E9 documents have been published yet
L	20	6600001-7499999	2022-08-18	70647	No longer published
L	21	7500001-7999999	2022-08-18	58350	No longer published
L	22	8000001-8999999	2022-08-18	45418	No longer published
L	23	9000001-9999999	2022-08-18	24863	No longer published
L	24	0000001-1099999	2022-08-18	17477	No longer published
L1	25	All	2022-08-24	7	No longer published
T1	26	All	2022-08-19	8372	
T2		-			No T2 documents have been published yet
T3	27	0000001-0299999	2022-08-24	85862	
T3	28	0300000-0699999	2022-08-24	78593	
T3	29	0700000-1299999	2022-08-24	77813	
T3	30	1300000-2199999	2022-08-24	73730	
T3	31	2200000-3099999	2022-08-24	68330	
T3	32	3100000-3999999	2022-08-24	31817	
T4	33	All	2022-08-24	8083	
T5	34	All	2022-08-24	4051	
T6	35	All	2022-08-24	216	
T7		-			No T7 documents have been published yet
T8	36	All	2022-08-24	774	
T9	37	All	2022-08-24	195	
Total				1116012	

14. Incremental authority file

The published file package also includes an incremental file, named “SE_AF_incremental_20220101-20220630.xml”. This file contains all SE documents, of all kinds, that were published from 2022-01-01 to 2022-06-30. Please note that this file does not contain any corrections or completions relating to documents published before that period.